

# 4D Topological Physics with Synthetic Dimensions

Hannah Price  
University of Birmingham, UK



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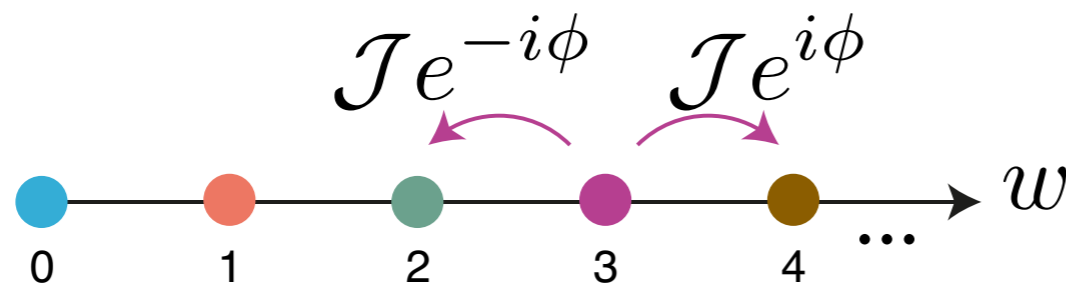
# Synthetic Dimensions

## General Concept:

1. Identify a set of states and reinterpret as sites in a synthetic dimension



2. Couple these modes to simulate a tight-binding “hopping”



WHY?

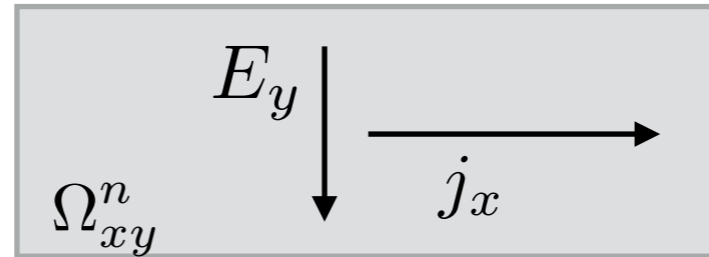
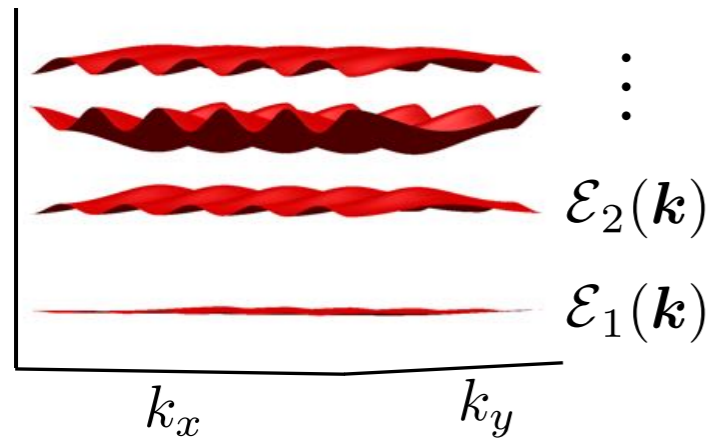
- Implement artificial gauge fields
- Reach higher-dimensional models

Boada et al., PRL, 108, 133001 (2012),  
Celi et al., PRL, 112, 043001 (2014)

# Outline

- 1. Reminder of the 2D Quantum Hall Effect**
2. 4D Topological Physics
3. 4D Quantum Hall in Synthetic Dimensions

# 2D Quantum Hall Effect



$$j_x = -\frac{q^2}{h} E_y \sum_{n \in \text{occ.}} \nu_1^n$$

band insulator

## Geometrical Berry curvature

$$\Omega_{xy}^n = i \left[ \left\langle \frac{\partial u_n}{\partial k_x} \middle| \frac{\partial u_n}{\partial k_y} \right\rangle - \left\langle \frac{\partial u_n}{\partial k_y} \middle| \frac{\partial u_n}{\partial k_x} \right\rangle \right]$$

Bloch states

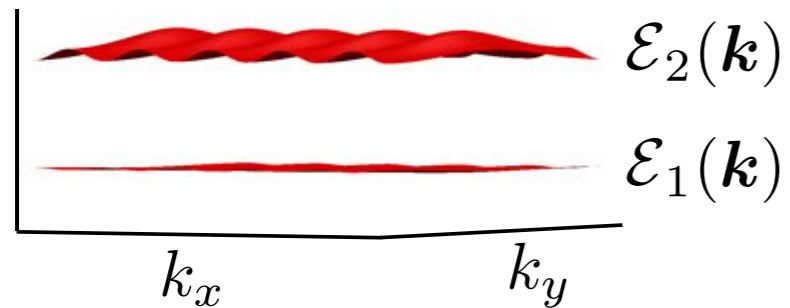
$$\psi_{n,\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k} \cdot \mathbf{r}} u_{n,k}(\mathbf{r})$$

## Topological First Chern number

$$\nu_1^n = \frac{1}{2\pi} \int_{\text{BZ}} \Omega_{xy}^n dk_x dk_y$$

Topological transitions only  
when band-gap closes

# How to get a 2D QH system?

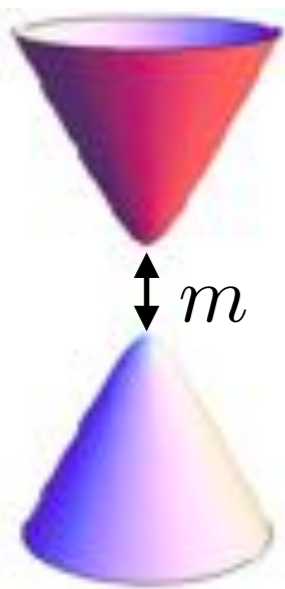


Minimal two-band model, e.g. spinless atoms on lattice with two-site unit cell:

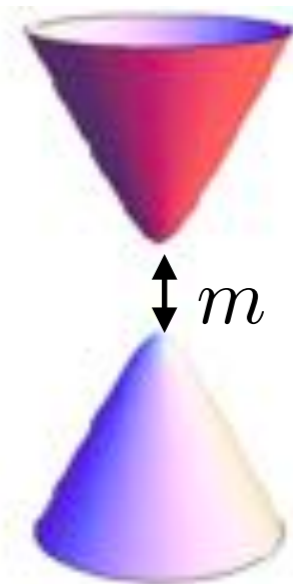
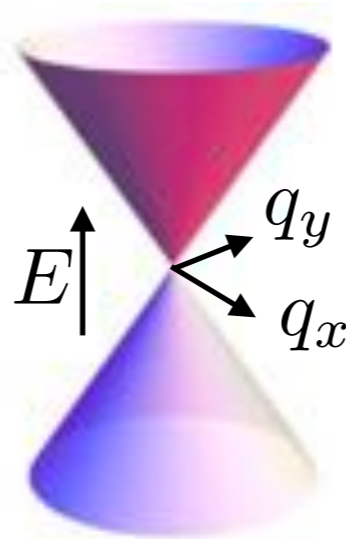
$$H(\mathbf{k}) = \varepsilon(\mathbf{k})\hat{I} + \mathbf{d}(\mathbf{k}) \cdot \boldsymbol{\sigma}$$

Topological transitions: e.g. at Dirac points

$$H(\mathbf{q}) \approx v_x q_x \sigma_x + v_y q_y \sigma_y + m \sigma_z$$



Dirac  
cone



-ve

$m = 0$

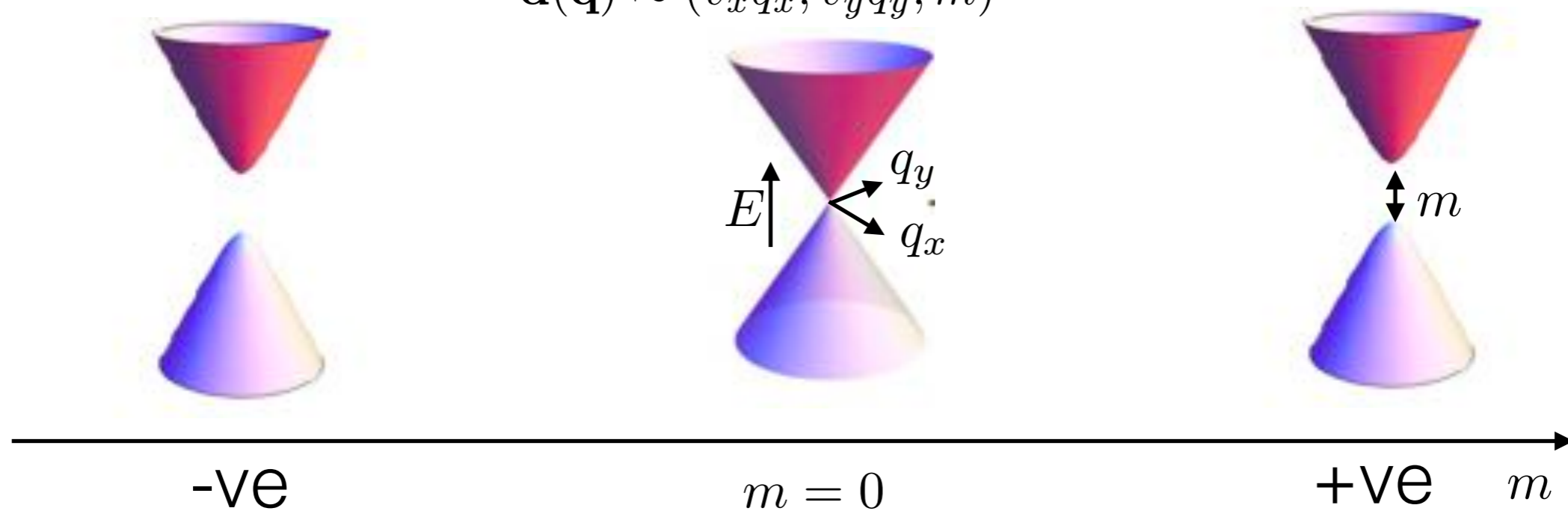
+ve

$m$

# Berry curvature

$$H(\mathbf{q}) \approx \mathbf{d}(\mathbf{q}) \cdot \boldsymbol{\sigma} \longrightarrow \Omega_{xy}^- = \frac{1}{2} \epsilon^{abc} \hat{d}_a \partial_{q_x} \hat{d}_b \partial_{q_y} \hat{d}_c$$

$$\mathbf{d}(\mathbf{q}) \approx (v_x q_x, v_y q_y, m)$$



Berry curvature flips across transition as  $d_3 = -m \rightarrow d_3 = m$

**Type 1:**  $d_1, d_2$  same signs  $\longrightarrow$  **increases**  $\Omega_{xy}^-$

**Type 2:**  $d_1, d_2$  opposite signs  $\longrightarrow$  **decreases**  $\Omega_{xy}^-$

# Chern Number

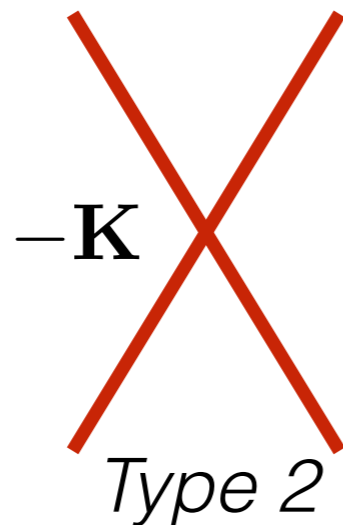
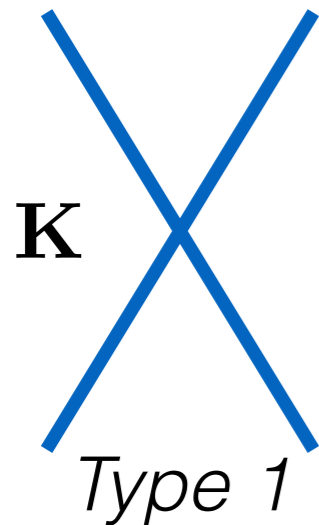
Time-reversal symmetry for **spinless** particles

$$H^*(\mathbf{k}) = H(-\mathbf{k}) \quad \text{implies}$$

$$d_{1,3}(\mathbf{k}) = d_{1,3}(-\mathbf{k})$$

$$d_2(\mathbf{k}) = -d_2(-\mathbf{k}) \quad \text{as } \sigma_y^* = -\sigma_y$$

So have **TRS pairs** of **opposite type**



$$\nu_1^- = \frac{1}{4\pi} \int_{\text{BZ}} \Omega_{xy}^- dk_x dk_y$$

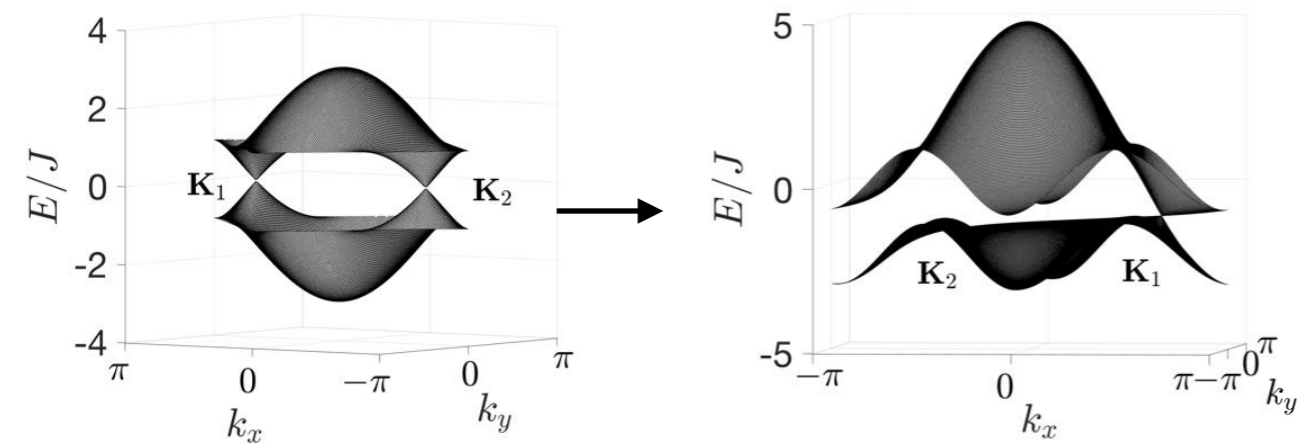
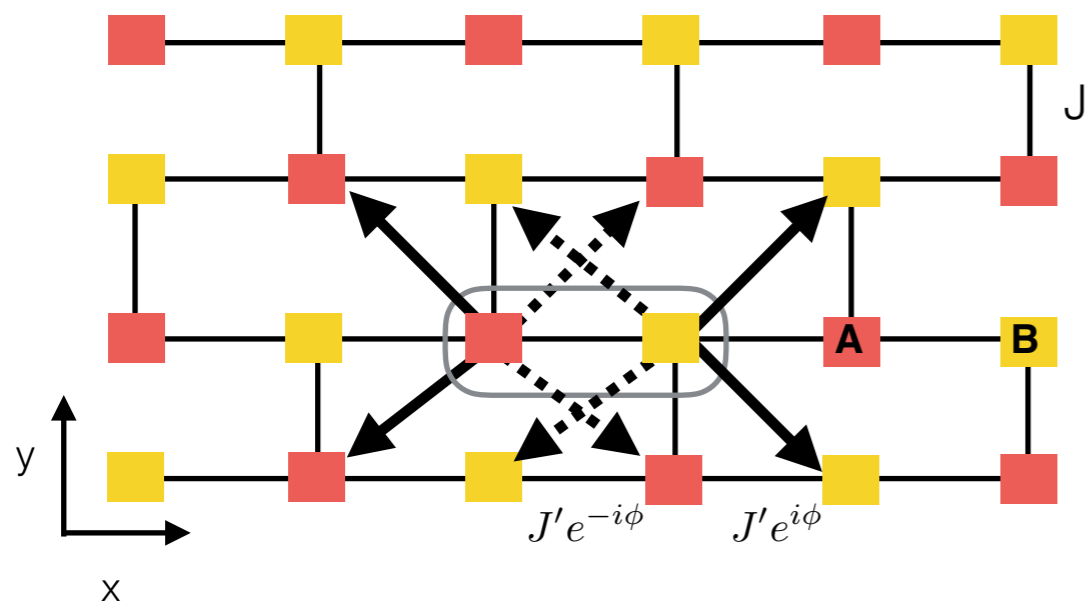
transitions are topologically trivial  
with TRS

# Breaking Time-Reversal Symmetry

e.g.

Haldane model:

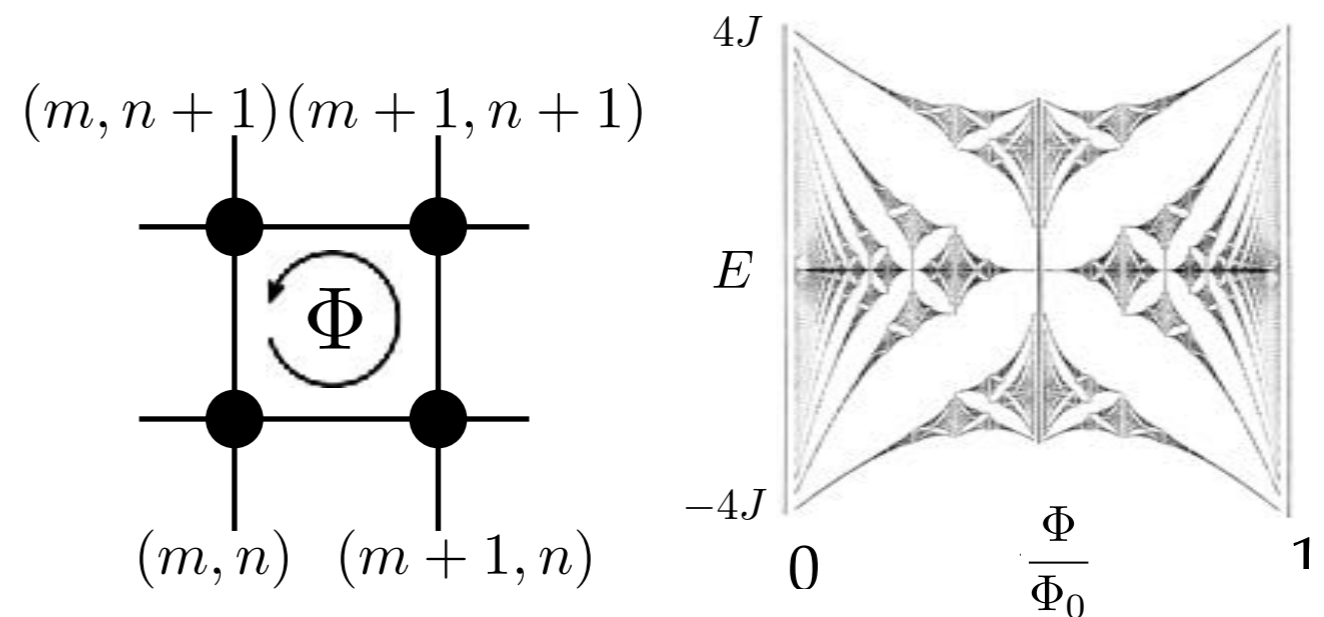
Haldane, PRL 61, 2015 (1988)



*Cold atom experiments:*  
Jotzu et al, Nature 515, 237 (2014)  
Flaschner et al, Nat. Phys. 14, 265 (2018) ....

Landau levels / Hofstadter model:

Hofstadter, PRB, 14, 2239, 1976



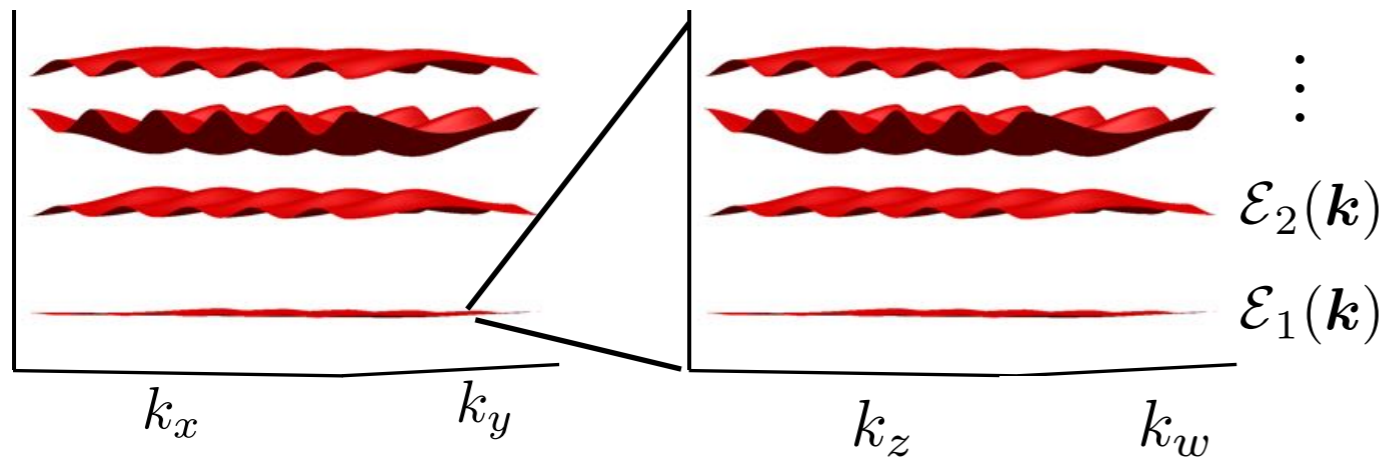
$$\mathcal{H} = J \sum_{m,n} (\hat{c}_{m+1,n}^\dagger \hat{c}_{m,n} + e^{i2\pi\Phi m} \hat{c}_{m,n+1}^\dagger \hat{c}_{m,n}) + \text{h.c.}$$

*Cold atom experiments:*  
Aidelsburger et al., PRL, 111, 185301 (2013),  
Miyake et al, PRL, 111, 185302 (2013),  
Aidelsburger et al., Nat. Phys, 11,162. (2015)....

# Outline

1. Reminder of the 2D Quantum Hall Effect
- 2. 4D Topological Physics**
3. 4D Quantum Hall in Synthetic Dimensions

# Second Chern Number



$$\Omega = \frac{1}{2} \Omega^{\mu\nu}(\mathbf{k}) d\mathbf{k}_\mu \wedge d\mathbf{k}_\nu$$

$$\Omega_n^{\mu\nu} = i \left[ \left\langle \frac{\partial u_n}{\partial k_\mu} \middle| \frac{\partial u_n}{\partial k_\nu} \right\rangle - \left\langle \frac{\partial u_n}{\partial k_\nu} \middle| \frac{\partial u_n}{\partial k_\mu} \right\rangle \right]$$

First Chern  
number

$$\nu_1 = \frac{1}{2\pi} \int_{2\text{DBZ}} \Omega = \frac{1}{2\pi} \int_{2\text{DBZ}} \Omega^{xy} dk_x dk_y$$

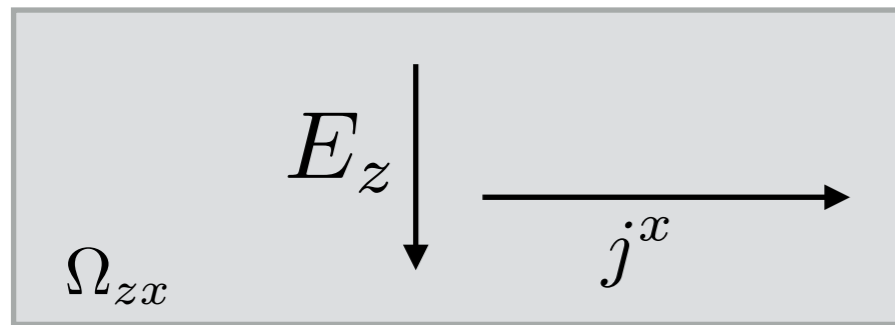
Second Chern  
number

$$\begin{aligned} \nu_2 &= \frac{1}{8\pi^2} \int_{4\text{DBZ}} \Omega \wedge \Omega \in \mathbb{Z} \\ &= \frac{1}{4\pi^2} \int_{4\text{DBZ}} [\Omega^{xy} \Omega^{zw} + \Omega^{wx} \Omega^{zy} + \Omega^{zx} \Omega^{yw}] d^4 k \end{aligned}$$

(and then the third Chern number in 6D... )

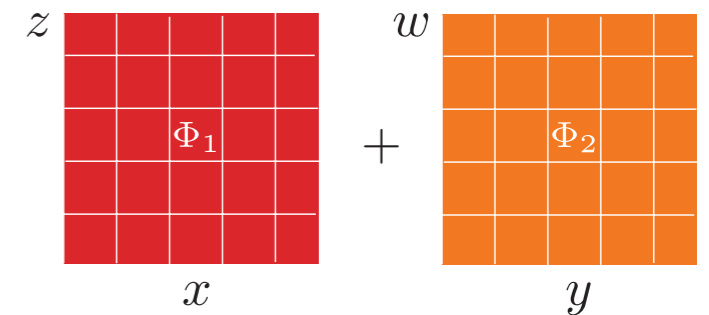
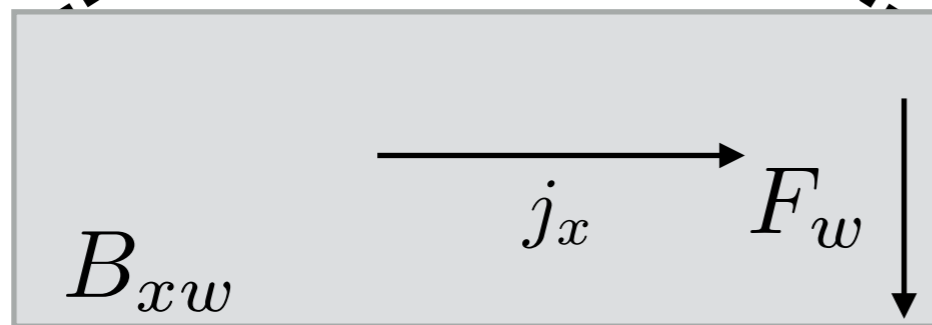
# 4D Quantum Hall Effect

Very simplest example: 4D Harper-Hofstadter Model



$$\nu_2 = \frac{1}{4\pi^2} \int_{4\text{DBZ}} [\Omega^{xy}\Omega^{zw} + \Omega^{wx}\Omega^{zy} + \boxed{\Omega^{zx}\Omega^{yw}}] d^4k$$

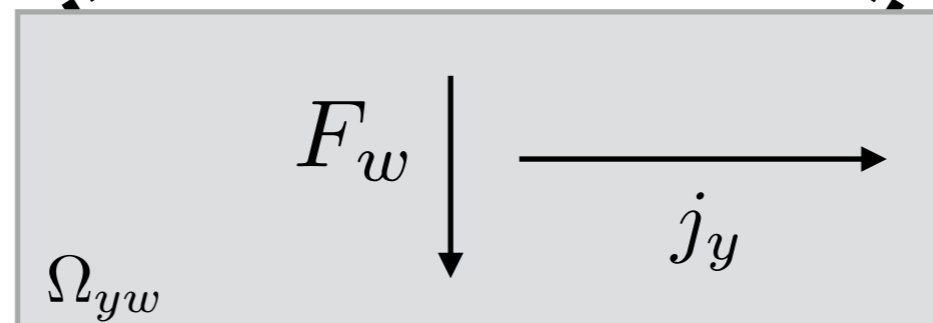
$$\nu_2 = \nu_1^{zx} \nu_1^{yw}$$



Response to two perturbations:

$$B_{xw} = \partial_x A_w - \partial_w A_x$$

$$E_z$$



$$j_y = -\frac{q^3}{h^2} E_z B_{xw} \nu_2^n$$

Zhang et al, Science 294, 823 (2001)....

[HMP](#), Zilberberg, Ozawa, Carusotto & Goldman, PRL 115, 195303 (2015)

[HMP](#), Zilberberg, Ozawa, Carusotto & Goldman, PRB 93, 245113 (2016)

# What do we need for a 4D QH system?

Kitaev, arXiv:0901.2686  
Ryu et al., NJP, 12, 2010,  
Chiu et al RMP, 88, 035005 (2016)...

Class	Symmetries			Dimensions							
	$T$	$C$	$S$	0	1	2	3	4	5	6	7
A	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0
AIII	0	0	1	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$
AI	+	0	0	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
BDI	+	+	1	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$
D	0	+	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0
DIII	-	+	1	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$
AII	-	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
CII	-	-	1	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
C	0	-	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
CI	+	-	1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$

1. Preserved TRS for fermions: particles in spin-dependent gauge fields  
Zhang et al, Science 294, 823 (2001), Qi et al, Phys. Rev. B 78, 195424 (2008).....
2. Broken TRS: **4D Harper-Hofstadter model**  
Kraus et al, Phys. Rev. Lett. 111, 226401 (2013), HMP et al. 115, 195303 (2015)...
3. Preserved TRS for spinless particles: just lattice connectivity!

HMP, arXiv:1806.05263

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DIII	-	+	1	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$
AII	-	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
CII	-	-	1	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
C	0	-	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
CI	+	-	1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$

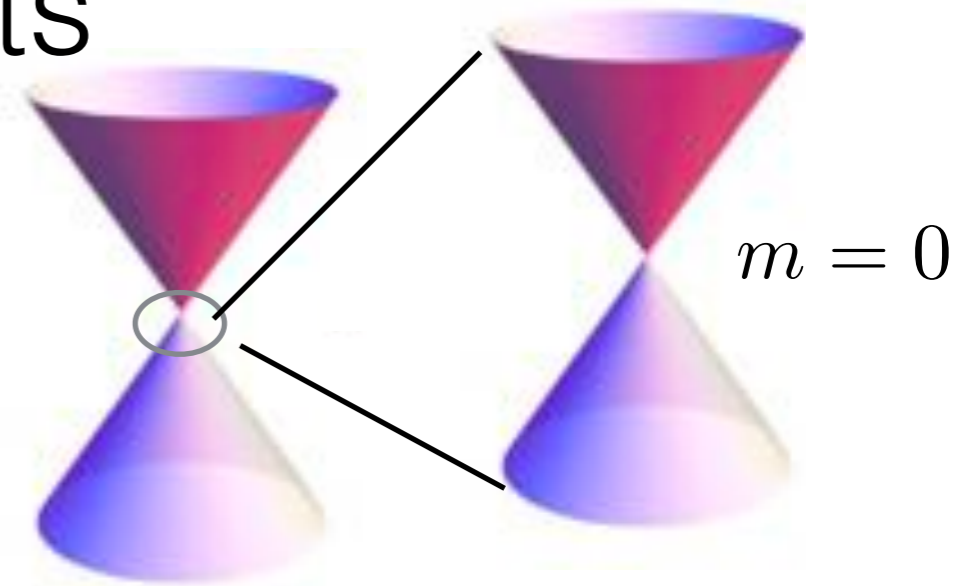
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HMP, arXiv:1806.05263

# 4D Dirac points

Minimal four-band model:

$$H(\mathbf{k}) = \varepsilon(\mathbf{k})\Gamma_0 + \mathbf{d}(\mathbf{k}) \cdot \mathbf{\Gamma}$$



$$\mathbf{d}(\mathbf{q}) \approx (v_x q_x, v_y q_y, v_z q_z, v_w q_w, m)$$

Qi et al, Phys. Rev. B 78, 195424 (2008)

$$\nu_2^- = \frac{3}{8\pi^2} \int_{\text{BZ}} d^4 \mathbf{k} \epsilon^{abcde} \hat{d}_a \partial_{k_x} \hat{d}_b \partial_{k_y} \hat{d}_c \partial_{k_z} \hat{d}_d \partial_{k_w} \hat{d}_e$$

As  $d_5 = -m \rightarrow d_5 = m$

**Type 1:**  $d_1, d_2, d_3, d_4$  even no/ minus signs  $\rightarrow$  **increases** integrand

**Type 2:**  $d_1, d_2, d_3, d_4$  odd no/ minus signs  $\rightarrow$  **decreases** integrand

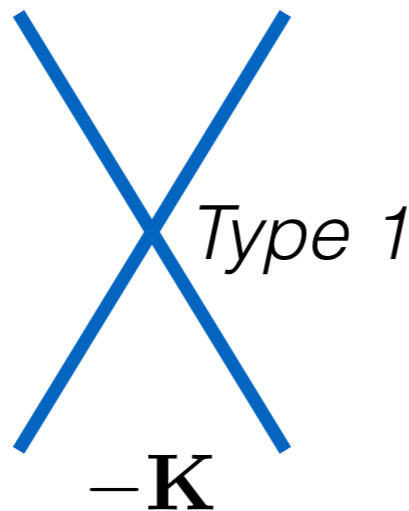
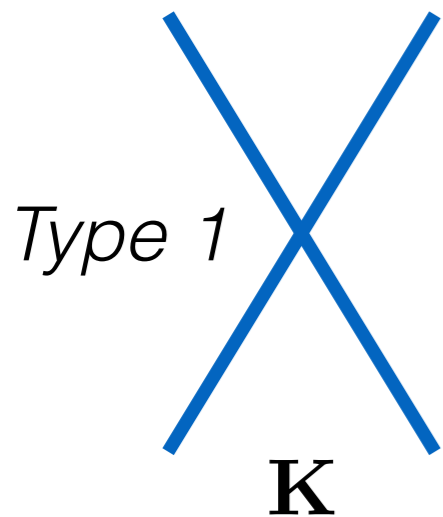
$$\Gamma_1 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, \Gamma_2 = \begin{pmatrix} 0 & 0 & -i & 0 \\ 0 & 0 & 0 & -i \\ i & 0 & 0 & 0 \\ 0 & i & 0 & 0 \end{pmatrix}, \Gamma_3 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, \Gamma_4 = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ 0 & -i & 0 & 0 \\ i & 0 & 0 & 0 \end{pmatrix}, \Gamma_5 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix},$$

# 4D Dirac points

Again TRS for spinless particles

$$H^*(\mathbf{k}) = H(-\mathbf{k}) \quad \text{implies} \quad \begin{aligned} d_{1,3,5}(\mathbf{k}) &= d_{1,3,5}(-\mathbf{k}) \\ d_{2,4}(\mathbf{k}) &= -d_{2,4}(-\mathbf{k}) \end{aligned} \quad \text{as } \Gamma_{2,4}^* = -\Gamma_{2,4}$$

So have TRS pairs of *the same* type

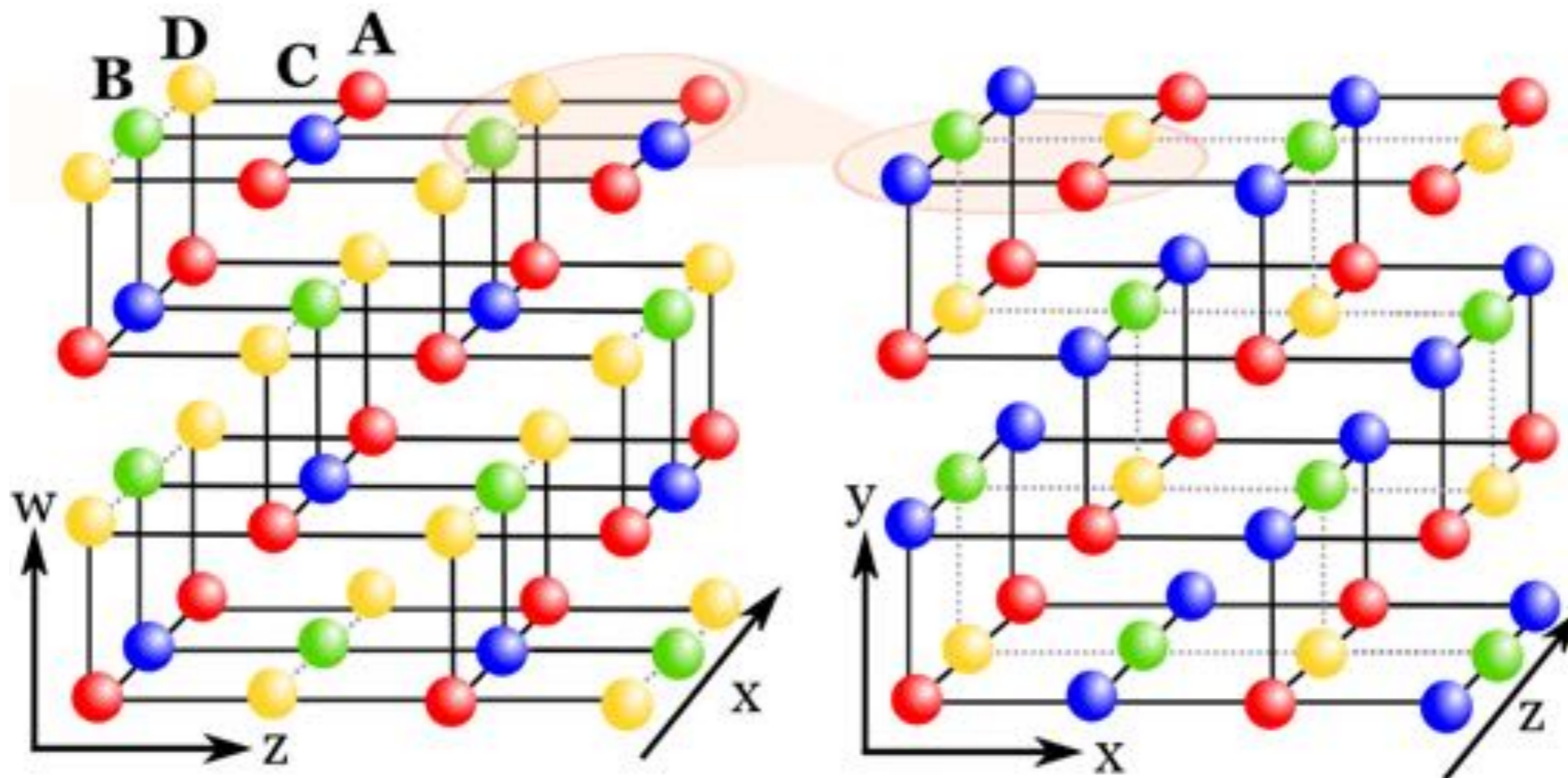


$$\nu_2^- \in 2\mathbb{Z}$$

can have topological transition with TRS!

$$\Gamma_1 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, \Gamma_2 = \begin{pmatrix} 0 & 0 & -i & 0 \\ 0 & 0 & 0 & -i \\ i & 0 & 0 & 0 \\ 0 & i & 0 & 0 \end{pmatrix}, \Gamma_3 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}, \Gamma_4 = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ 0 & -i & 0 & 0 \\ i & 0 & 0 & 0 \end{pmatrix}, \Gamma_5 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix},$$

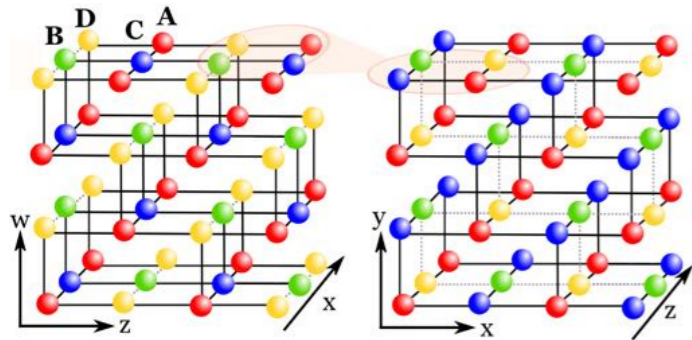
# 4D Brickwall Lattice



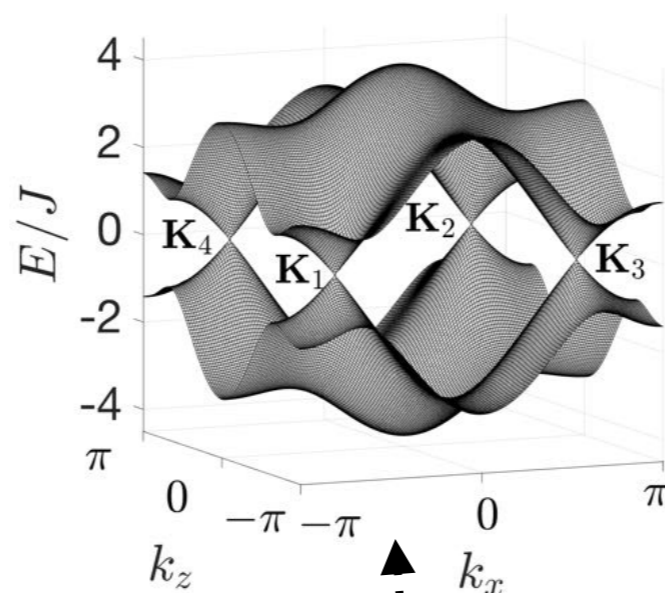
$$H(\mathbf{k}) = J [(2 \cos k_x + \cos k_y) \Gamma_1 + \sin k_y \Gamma_2 + (2 \cos k_z + \cos k_w) \Gamma_3 + \sin k_w \Gamma_4 + m \Gamma_5]$$

Hopping terms

Onsite energies



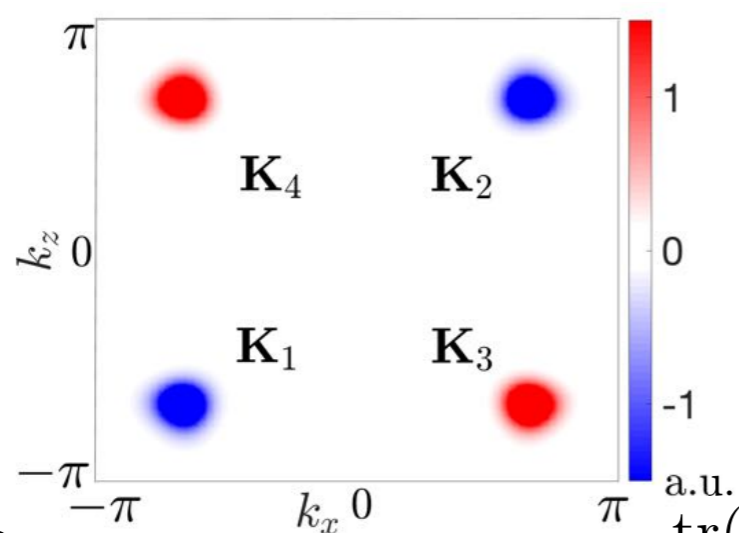
# 4D Brickwall Lattice



$$m = 0$$

**Trivial**

$$\nu_2^- = 0$$

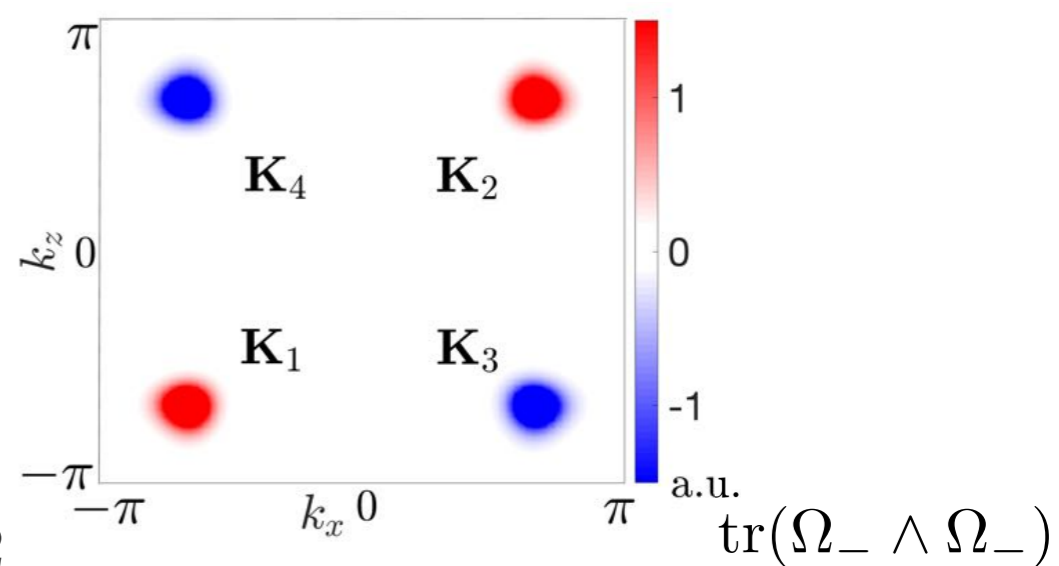


$$m = -J/2$$

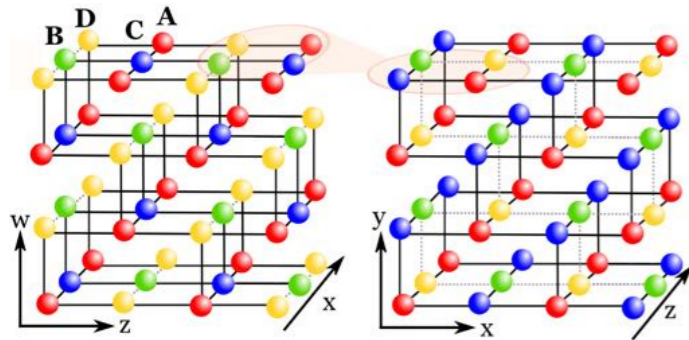
HMP, arXiv:1806.05263

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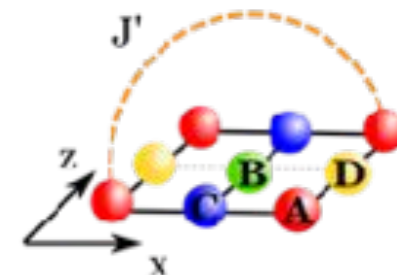


$$m = J/2$$

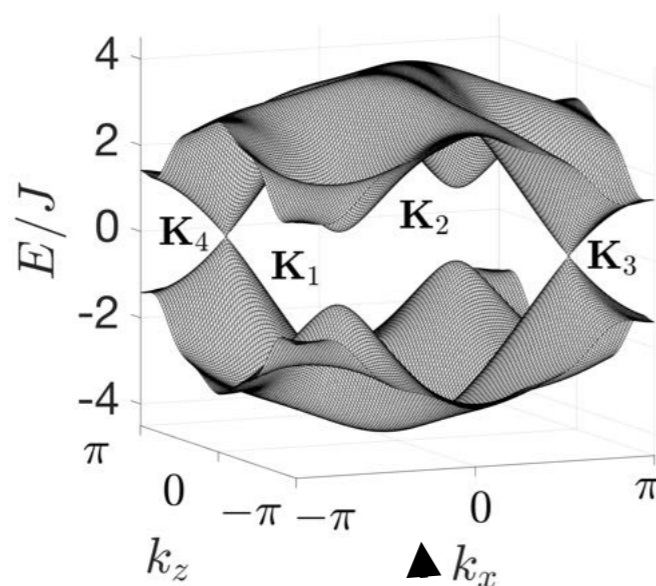


# 4D Class AI Model

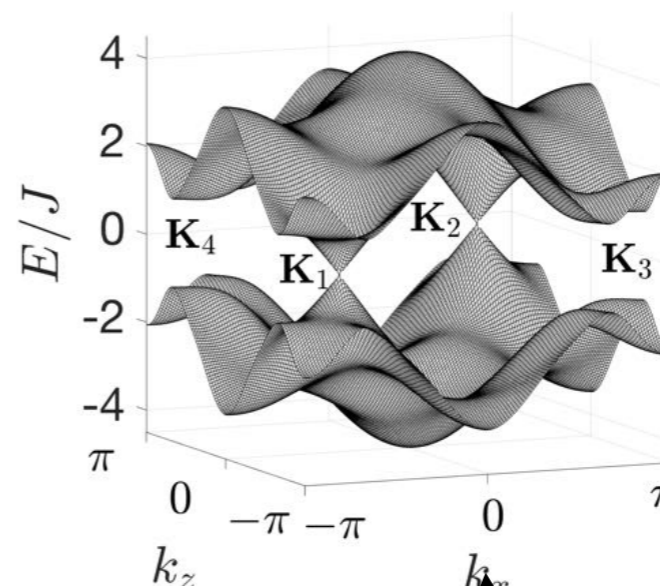
Add real long-range hoppings, e.g.



$$H'(\mathbf{k}) = 2J' \cos(2k_x + 2k_z)\Gamma_5$$



$$m = -2J'$$



$$m = J'$$

**Trivial**

$$\nu_2^- = 0$$

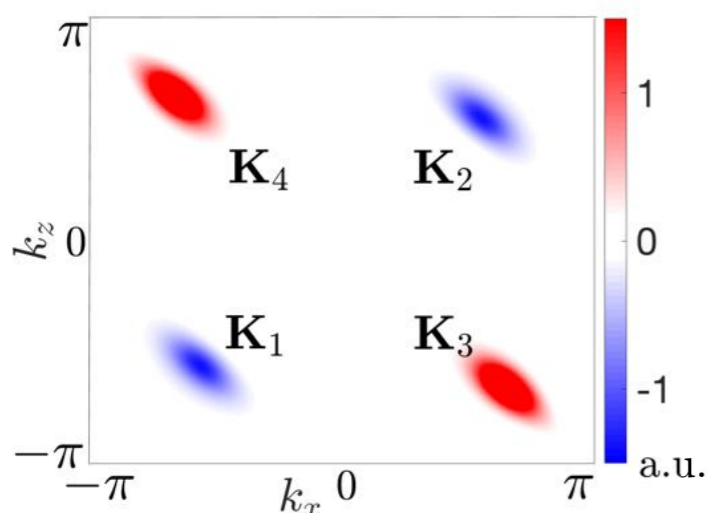
**Topological**

$$\nu_2^- = -2$$

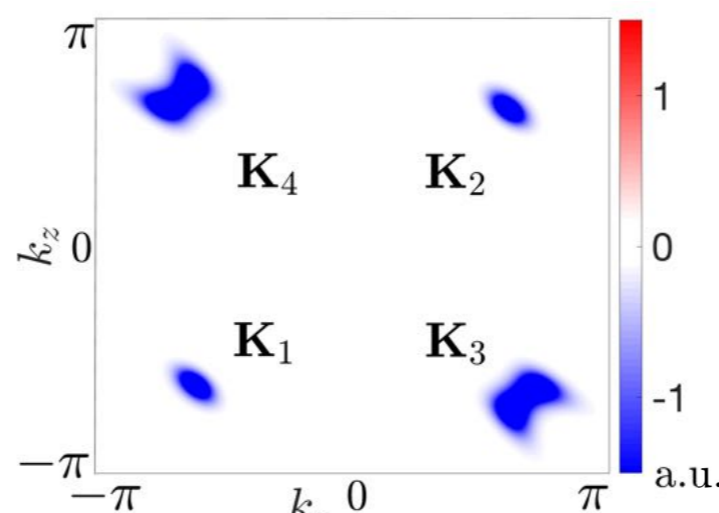
**Trivial**

$$\nu_2^- = 0$$

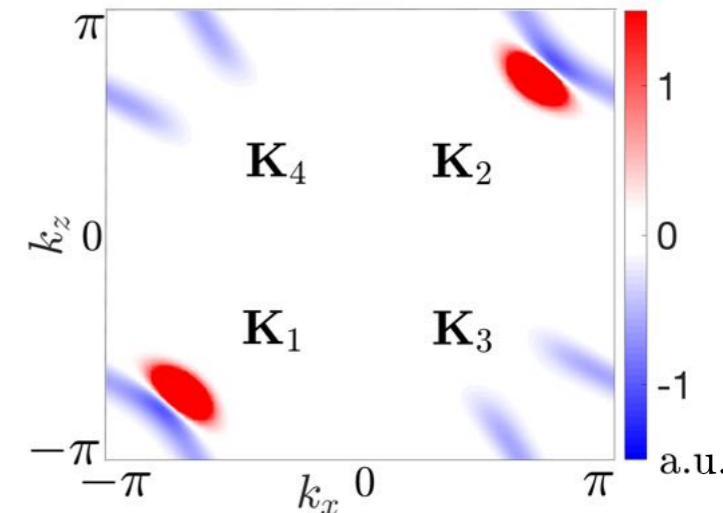
$m$



$$\text{tr}(\Omega_- \wedge \Omega_-)$$



$$\text{tr}(\Omega_- \wedge \Omega_-)$$



$$\text{tr}(\Omega_- \wedge \Omega_-)$$

# Key points about 4D QH Systems

- Bands labelled by integer **second** Chern numbers

- Quantized **non-linear** response  $j_y = -\frac{q^3}{h^2} E_z B_{xw} \nu_2^n$

- **Different classes** of 4D QH systems

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D	0	+	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0
DIII	-	+	1	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$
AII	-	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
CII	-	-	1	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
C	0	-	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
CI	+	-	1	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$

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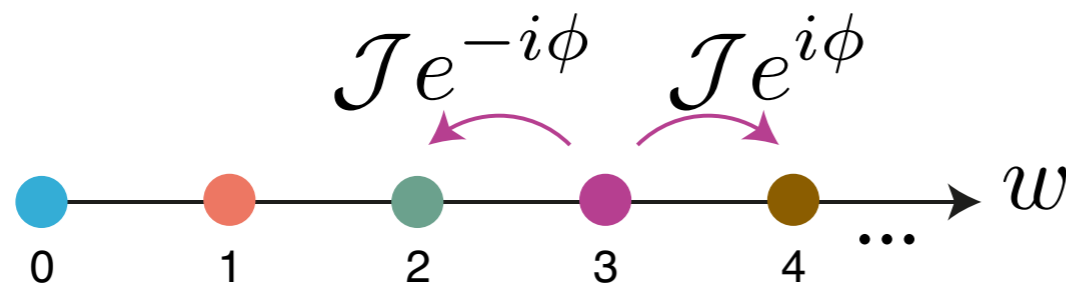
# Synthetic Dimensions

## General Concept:

1. Identify a set of states and reinterpret as sites in a synthetic dimension



2. Couple these modes to simulate a tight-binding “hopping”



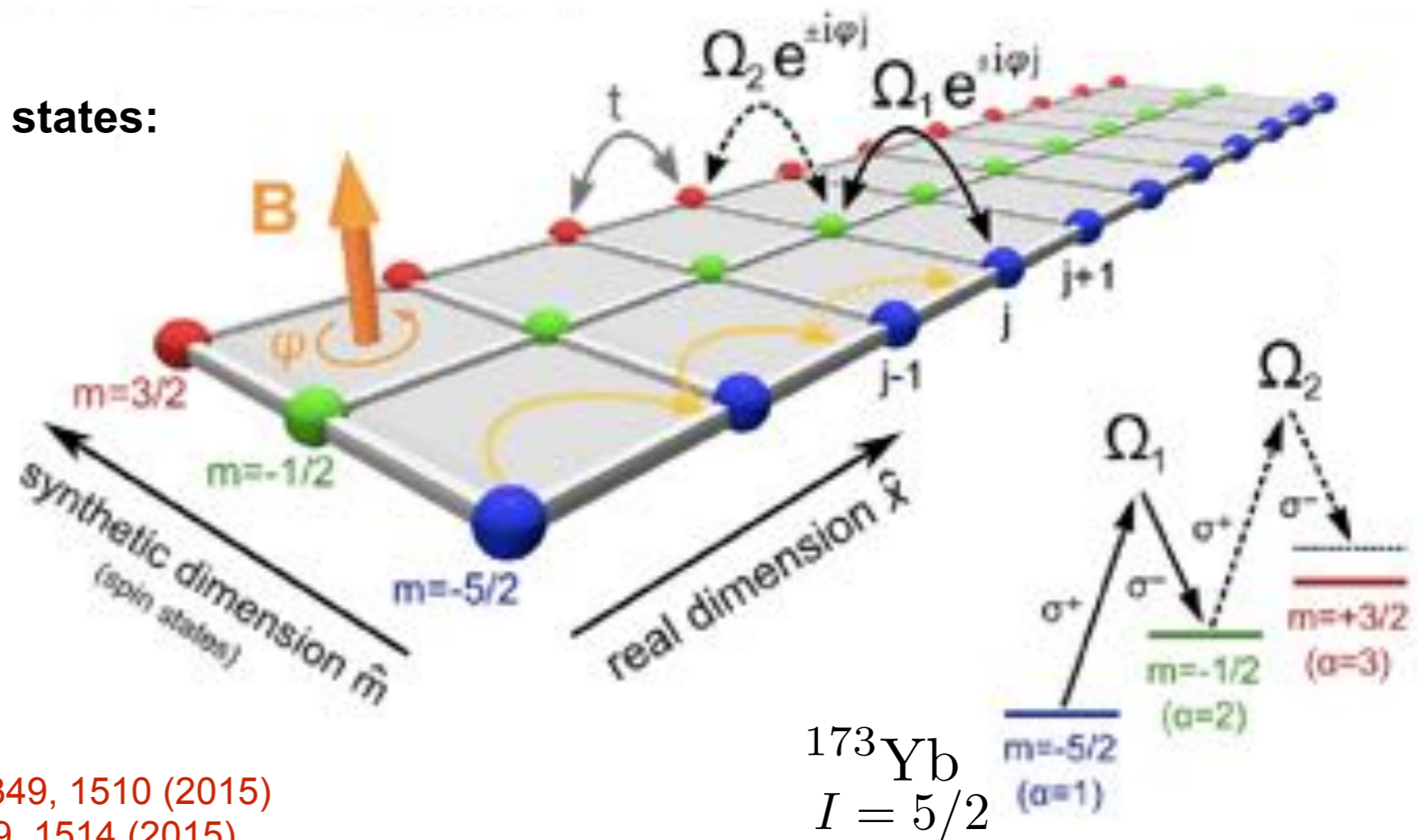
**HOW?**

# Synthetic dimension with internal atomic states

## Ingredients:

1. Reinterpret states as sites in synthetic dimension -> **Internal atomic states**
2. Couple states to simulate a “hopping” term -> **Coupling lasers**

## For atomic hyperfine states:



$^{173}\text{Yb}$   
 $I = 5/2$

Florence: Mancini et al, Science, 349, 1510 (2015)

Maryland: Stuhl et al. Science, 349, 1514 (2015)

## Also now with clock transitions:

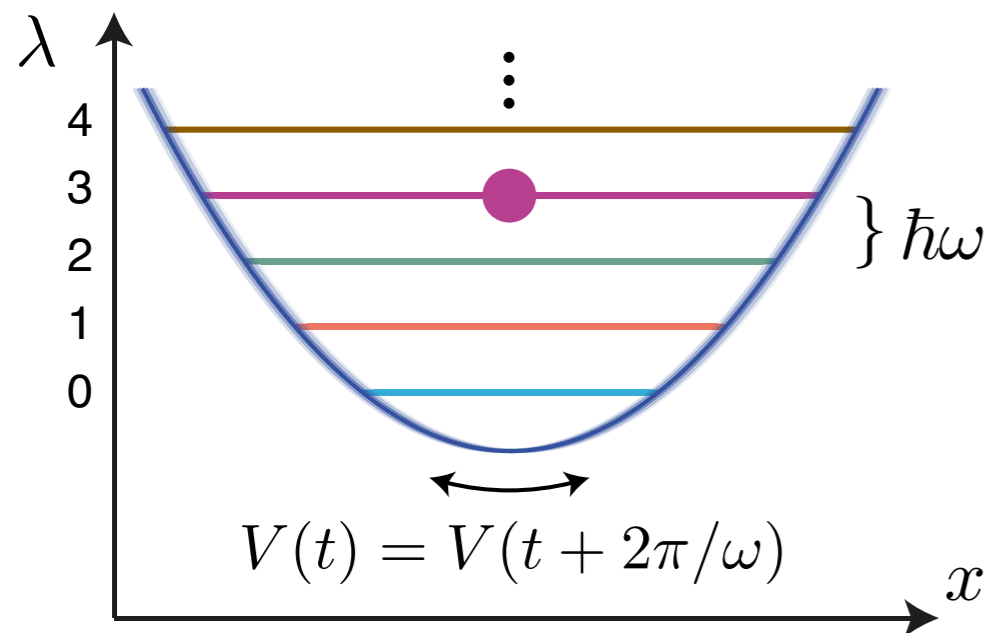
Florence Livi et al, Phys. Rev. Lett. 117, 220401 (2016)

Boulder: Kolkowitz et al, Nature, 542, 66 (2017)

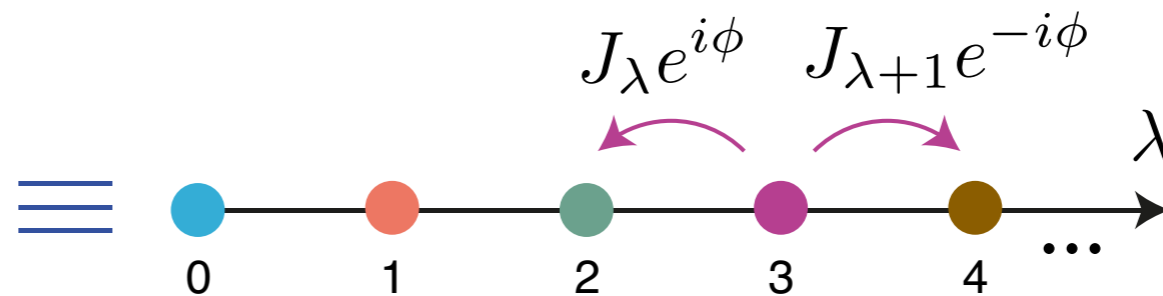
# Synthetic dimension with harmonic trap states

## Ingredients:

1. Reinterpret states as sites in synthetic dimension -> **Harmonic oscillator states**
2. Couple states to simulate a “hopping” term -> **Shaking of harmonic trap**



Theory: [HMP](#), T. Ozawa and N. Goldman, Phys. Rev. A 95, 023607 (2017)



**See poster by Grazia Salerno!**

**Also: synthetic dimensions for photons:**

**Optomechanics:** Schmidt et al, Optica 2, 7, 635 (2015)

**Optical cavities:** Luo et al, Nature Comm. 6, 7704, (2015)

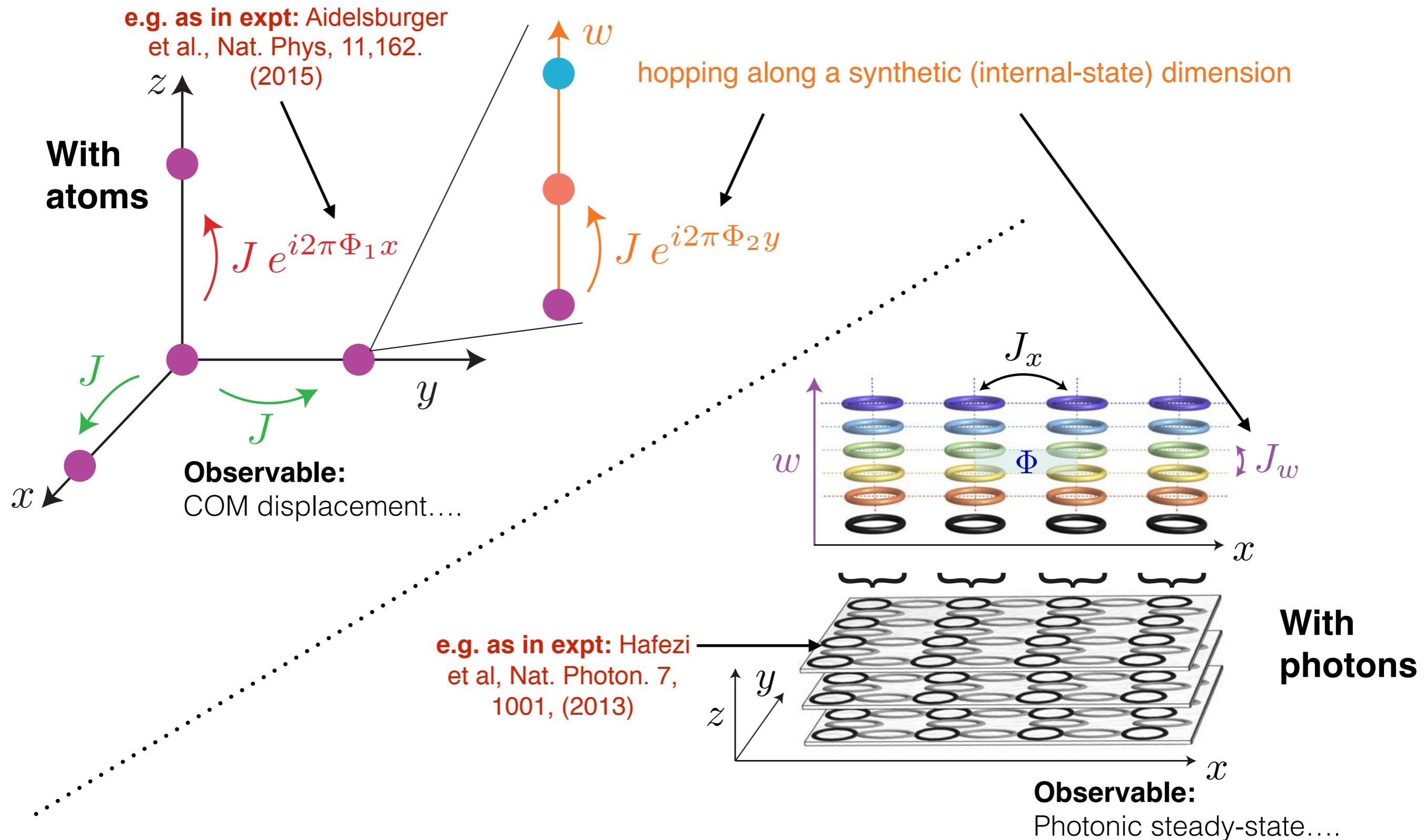
**Integrated photonics:** Ozawa, [HMP](#), Goldman, Zilberberg, & Carusotto, Phys. Rev. A 93, 043827 (2016),

L. Yuan, Y. Shi & S. Fan, Optics Letters 41, 4, 741 (2016)

Ozawa & Carusotto, PRL, 118, 013601 (2017)

**Waveguides:** Lustig et al, arXiv:1807.01983

# 4D QH with Synthetic Dimensions

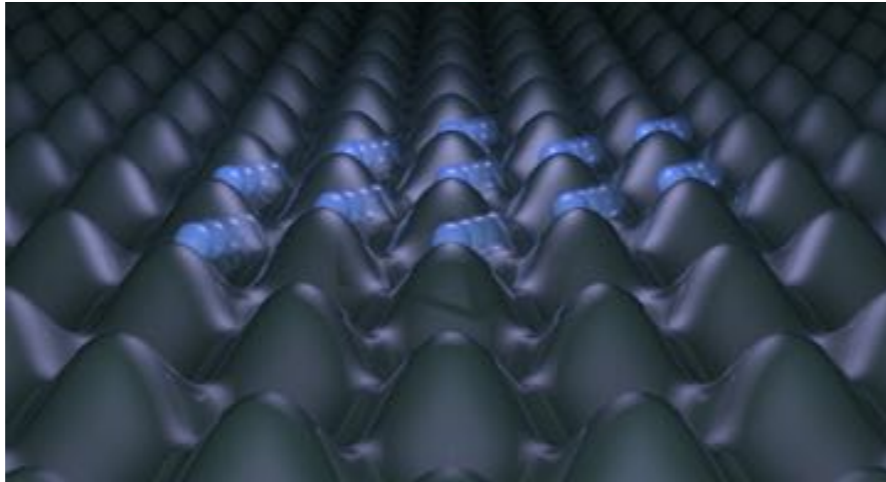


[HMP](#), Zilberberg, Ozawa, Carusotto & Goldman, Phys. Rev. Lett. 115, 195303 (2015)

T. Ozawa, [HMP](#), N. Goldman, O. Zilberberg, and I. Carusotto, Phys. Rev. A 93, 043827 (2016)

# 4D QH with Topological Pumping

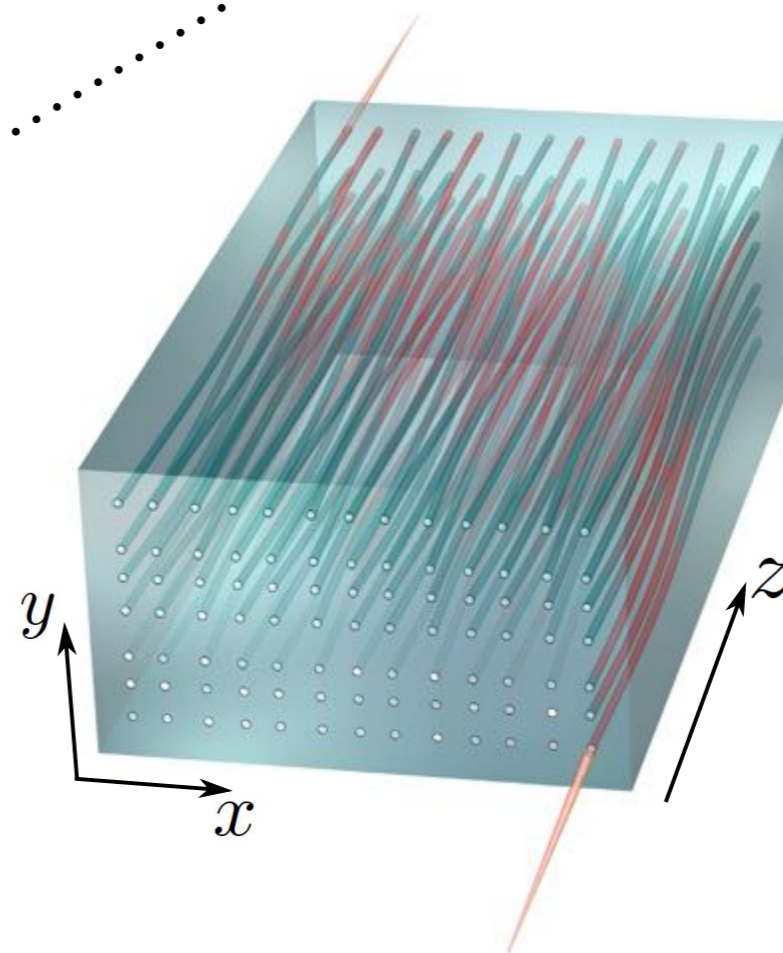
**With  
atoms**



Lohse, Schweizer, HMP, Zilberberg, Bloch,  
Nature 553, 55–58 (2018)

**See talk by Oded Zilberberg!**

**With  
photons**

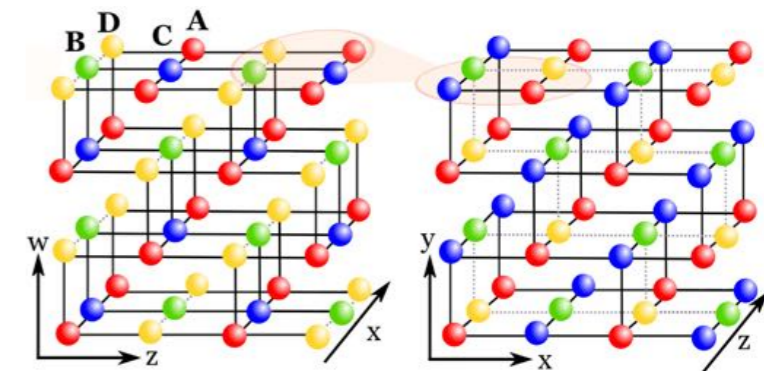
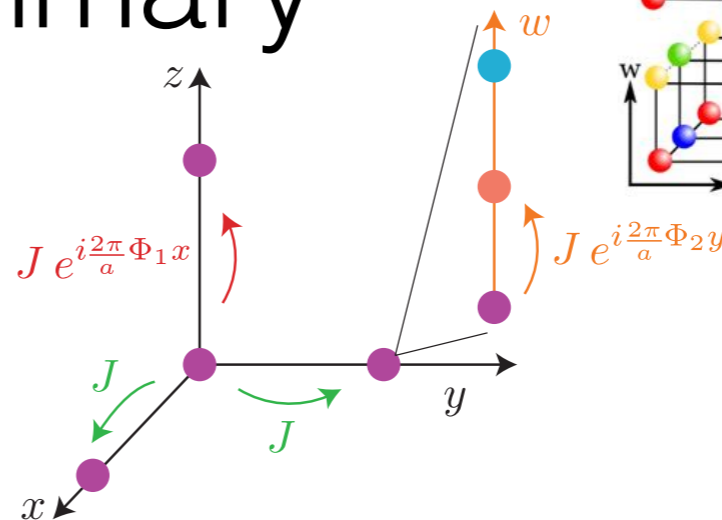


Zilberberg, Huang, Guglielmon, Wang, Chen, Kraus, Rechtsman., Nature 553, 59 (2018)

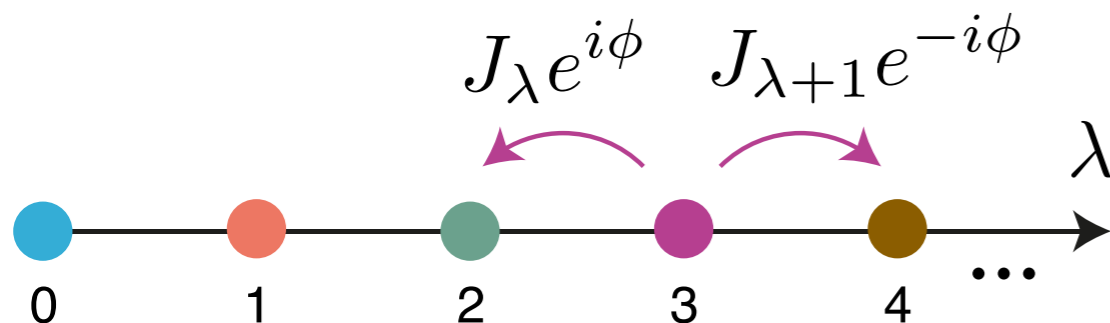
**PhD Position Available!**

# Summary

Topological physics in **four dimensions**



$$j_y = -\frac{q^3}{h^2} E_z B_{xw} \nu_2^n$$



**Synthetic dimensions** for cold atoms or photons

## **Review:** “*Topological Photonics*”

Tomoki Ozawa, Hannah M. Price, Alberto Amo, Nathan Goldman, Mohammad Hafezi, Ling Lu, Mikael Rechtsman, David Schuster, Jonathan Simon, Oded Zilberberg, Iacopo Carusotto  
arXiv:1802.04173

# In collaboration with:



Tomoki Ozawa  
(Riken, Japan)



Iacopo Carusotto  
(Trento)



Ioannis Petrides  
(Zurich)



Oded Zilberberg  
(Zurich)



Grazia Salerno  
(Brussels)



Nathan Goldman  
(Brussels)



Michael Lohse  
(Munich)



Christian Schweizer  
(Munich)



Immanuel Bloch  
(Munich)